

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned
Applicant: Robert James Brisley et al.
Filed: Herewith
Title: CATALYTIC WALL FLOW FILTER
TC/A.U.:
Examiner:

Which is a divisional of:

Appln. No: 09/807,571
Applicant: Robert J. Brisley et al.
Filed: July 23, 2001
Title: CATALYTIC WALL-FLOW FILTER
TC/A.U.: 1754
Examiner: Edward M. Johnson
Confirmation No.: 9095
Docket No.: JMYT-240US

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/807,571, filed July 31, 2001, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being filed concurrently with the above-referenced divisional application.

Respectfully submitted,



Christopher R. Lewis, Reg. No. 36,201
Christian M. Bauer, Reg. No. 51,443
Attorneys for Applicants

CRL/lrb

Enclosures: Forms PTO/SB/08A & PTO/SB/08B (2 pgs.)

Dated: March 16, 2004

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

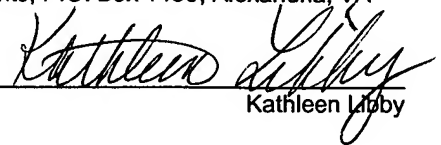
The Commissioner for Patents is hereby
authorized to charge payment to Deposit
Account No. 18-0350 of any fees associated
with this communication.

EXPRESS MAIL

Mailing Label Number:
Date of Deposit:

EL 985027828 US
March 16, 2004

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Kathleen Luby

LRB_I:\JMYT\240US1\IDS-001.DOC

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Robert James Brisley
Art Unit	
Examiner Name	
Attorney Docket No.	JMYT-240US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-4,515,758	05-07-1985	Domesle et al.	
		US-4,857,089	08-15-1989	Kitagawa et al.	
		US-4,902,487	02-20-1990	Cooper et al.	
		US-5,089,237	02-18-1992	Schuster et al.	
		US-5,492,679	02-20-1996	Ament et al.	
		US-5,519,993	05-28-1996	Rao et al.	
		US-5,750,084	05-12-1998	Tsutsumi et al.	
		US-5,758,496	06-02-98	Rao et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP-0 056 584-A1	07-28-1982	Engelhard Kali-Chemie Autocat GmbH	Whole document and figures	<input type="checkbox"/>
		EP-0 277 012-A1	01-28-1988	NGK Insulators, Ltd.	pg. 3, lines 18-22	<input type="checkbox"/>
		EP-0 341 832-A2	11-15-1989	Johnson Matthey, Inc.	Example 4	<input type="checkbox"/>
		EP-0 736 503-A1	10-09-1996	Nippondenso Co., Ltd.	Whole document and figures	<input type="checkbox"/>
		EP-0 758 713-A1	02-19-1997	Toyota Jidosha Kabushiki Kaisha		<input type="checkbox"/>
		EP-0 814 242-A1	12-29-1997	Johnson Matthey PLC		<input type="checkbox"/>
		EP-0 834 343-A1	04-08-1998	Kabushiki Kaisha Toyota Chuo Kenkyusho	Embodiment 3	<input type="checkbox"/>
		WO-99/39809	08-12-1999	Johnson Matthey PLC		<input type="checkbox"/>
		WO-99/47260	09-23-1999	Johnson Matthey PLC		<input type="checkbox"/>
		WO-00/29726	05-25-2000	Engelhard Corporation	Samples 4 and 6; pg. 26; Table I	<input type="checkbox"/>
		WO-00/74823-A1	12-14-2000	Johnson Matthey PLC		<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Robert James Brisley
Art Unit	
Examiner Name	
Attorney Docket No.	JMYT-240US1

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		International Search Report dated December 19, 2000, from International Application No. PCT/GB00/03064.	<input type="checkbox"/>
		British Search Report dated January 7, 2000, from British Application No. 9919013.4.	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.